

Closed Loop Total Intravenous Anesthesia (TIVA) for Combat Casualty Care

- An auto-pilot for safe and effective TIVA delivery -

Presented by Stephane Bibian, PhD
VP Engineering and Clinical Research
NeuroWave Systems Inc.,
2400 Lee Blvd,
Cleveland Heights, OH
sbibian@NeuroWaveSystems.com

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About us...



NeuroWave Systems Inc. was founded in 2003.

- Our mission is to develop, manufacture, and market innovative monitoring and drug delivery devices using advanced signal processing and control system engineering for Anesthesia, Critical Care, and Military Medicine, in order to improve patient safety, outcome and quality of life.
- Our R&D programs are mainly supported through the US Department of Defense and the National Institutes of Health.

Disclosure:

- I am a salaried employee of NeuroWave
- I own stocks in NeuroWave



US Army AutoTIVA Program

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Capability Gap

The Army's challenge:

- Anesthesia delivery in Combat Surgical Hospitals has been traditionally handled using a drawover vaporizer that was being discontinued by its manufacturer. At the time, no replacement solution could be readily identified by the DoD.
- Gas anesthesia, while being the standard of care, also suffers from significant drawbacks in terms of logistics. Use of standard off-the-shelf anesthesia gas machines is impractical when considering fielding such units.
- Conversely, Total Intravenous Anesthesia (TIVA) was identified as the *"battlefield anesthesia of the future"* (Barras et al., 2005) "given its safety, simplicity, scientific nature and small logistical footprint".



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Capability Gap

TIVA's advantages:

- Lower post-operative nausea and vomiting (reduced workload for post-operative care providers, lower incidence of self injury)
- Does not trigger malignant hyperthermia (difficult/impossible to treat in austere environments)
- Improved hemodynamic stability and temperature conservation
- Fast and predictable recovery and orientation
- Reduced post-operative pain
- Lower ICP
- Simple, low maintenance equipment with small logistical footprint (no need for gas scavenging system, safe for care providers)
- Also associated with lower incidence of post-operative delirium in pediatric patients, and longer survival in cancer surgery patients
- Higher patient satisfaction

Despite the efforts invested by the Tri-service Anesthesia Research Group initiative on TIVA (TARGIT) in helping and training service personnel in the administration of TIVA, *its practice is far from wide spread*. It is virtually non-existent in CSH and limited at higher echelons of care due to the difficulties in managing the drug delivery, and the lack of familiarity with its administration.

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NeuroWave's Solution

- In 2010, we reached out to the Army and proposed to develop a compact intravenous anesthesia delivery support system (AutoTIVA), based on standard off-the-shelf infusion pumps.
- The goal of AutoTIVA was to provide Army anesthesiologists with a tool to enable the safe and effective delivery of propofol and remifentanyl, at all echelons of care, so that combat casualties may have access to the benefits of TIVA.

How?: ... by developing a closed-loop propofol/remifentanyl drug delivery system based solely on feedback from the brain.

- ... **Auto-pilot/cruise controller** for TIVA
- **Paradigm shift:** from prescribing a dose to prescribing an effect
- **Additional advantages:** reaction time, vigilance, time saving, drug optimization, protocol implementation, closing the expertise gap...

- A contract was awarded to NeuroWave in 2011.

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We did **NOT** start from scratch!

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Early Research Focus

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A multi-disciplinary research group (ECEM) was founded in 2001 at UBC and brought together engineers, scientists and clinicians with the goal to improve anesthesia care through automation, smart monitoring, and data analytics.

In fact, an early focus was the development of a closed-loop anesthesia drug delivery system to control depth of anesthesia (auto-pilot/cruise control).

Two important technical challenges were identified and tackled very early on:

- Lack of a proper sensor technology adapted to closed-loop operation
- Lack of a method to handle patient-variability within a feedback control framework

...in other words: *how can we ensure controller stability in view of patient variability?*

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Key Technology #1: Sensor

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A new algorithm – **WAV_{CNS}** – based on non-invasive EEG-processing was developed in 2000/2002 to resolve some of the limitations of the BIS, in particular:

- Use of Wavelet analysis for delay-free characterization of the patient's state
- Deterministic (vs. interpretative) algorithm to ensure a Linear and Time Invariant (LTI) behavior

From T. Zikov, "Quantifying cortical activity during general anesthesia...", IEEE TBME, 2008

EEG montage was selected to allow for a bilateral implementation to provide independent and redundant WAV_{CNS} values from both sides of the brain simultaneously.

- Good inter-hemispheric reproducibility, where a sustained difference over +/- 8 units is rare in patients with no known neuro-pathologies.

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Key Technology #1: Sensor

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- **Rapid response** (no calculation delay)
- **LTI behavior** (no switching algorithms)
- **Index robustness** (high level of inter-hemispheric agreement)

... makes the WAV_{CNS} well suited for use as feedback sensor...

...and also well suited as a simple monitoring tool!

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Members of the UBC research group patented the sensor technology in 2002 and licensed it to Cleveland Medical Devices (NeuroWave division).

NeuroSENSE NS-701 Monitor

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Key Technology #2: Controller

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Inter-patient variability can be readily observed by deriving PK/PD models for a given population of patients.

Leveraging the delay-free/LTI characteristics of the WAV_{CNS} sensor, we have shown that PD parameters can be identified based simply on induction data.

Patient #	SEX	AGE	Wt	MAP	Wt	Wt	Wt	PK Parameters					SE	
								k_{el}	k_{12}	k_{21}	k_{10}	k_{20}		
001	M	65	70	65	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
002	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
003	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
004	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
005	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
006	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
007	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
008	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
009	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
010	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
011	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
012	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
013	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
014	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
015	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
016	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
017	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
018	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
019	M	60	70	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
020	F	60	60	60	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001

S. Bibian et al., "Patient variability and uncertainty quantification in anesthesia", IFAC, 2006

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Key Technology #2: Controller

We have further shown that patient variability over the study population can be *mathematically described* using the relative multiplicative uncertainty framework:

$P = P_0 + r \cdot \Delta$, where $\Delta \in \mathbb{C}, |\Delta| \leq 1$
 $P = P_0 \cdot (1 + W_p \cdot \Delta)$, where $W_p = \frac{r}{P_0}$
 uncertainty weight

S. Bibian et al., "Closed-loop target controlled infusion systems: Stability...", J. Mil. Med., 2015

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Key Technology #2: Controller

A linear, fixed controller can be shown to be *mathematically stable* for any patient model inscribed within the uncertainty bounds *if* the complementary sensitivity function does not exceed the inverse of the uncertainty weight.

Robust Stability (RS) condition

- The controller parameters can be further optimized such that to provide the highest gain (i.e., fast reaction) *without violating the RS condition*.
- Mathematical proof of stability in view of patient-variability.

S. Bibian et al., "Closed-loop target controlled infusion systems: Stability...", J. Mil. Med., 2015

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Key Technology #2: Controller

Dual propofol/remifentanyl controller:

- The sole control of hypnosis using propofol will not provide anesthesiologists with the full benefits of automatization. In fact, *dual control* of hypnosis and analgesia is highly desirable.
- In 2006, we hypothesized that a controller designed for the control of the WAW_{CNS}, and based on the co-administration of propofol and remifentanyl, may provide faster tracking and disturbance rejection.
 - The brain is NOT the end-target organ of opioids. However, we have frequently observed that lack of proper analgesia results in sudden cortical arousal in the presence of nociception.
 - We hypothesized that a dual propofol/remifentanyl controller could be designed, where the controller first uses remifentanyl to compensate for the high frequency transients, while propofol is only used to compensate low frequency transients. This method would work due to the faster PK/PD of remifentanyl.
- This dual control concept was successfully clinically tested in France (MedSteer/Foch), Canada (Control/UBC) and India (CLADS) over the past 10 years.

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Early Prototype

A first prototype of the AutoTIVA, integrating both our WAV_{CNS} sensor and the dual controller, was built in 2012

Limitations:

- We realized that infusion pumps approved for human use cannot be remotely controlled without voiding regulatory approval.
- We reached out to pump manufacturers to discuss the possibility of interfacing their pumps (or creating a specific remotely controllable pump version for the purpose of this application). Regulatory hurdles and costs were major issues.
- Another problem was the multiplicity of user interfaces, which adversely affects human factors and requires additional training.
- Hardware complexity/cabling was another weakness of the proposed solution...



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US Navy's ACCS AutoSED Module

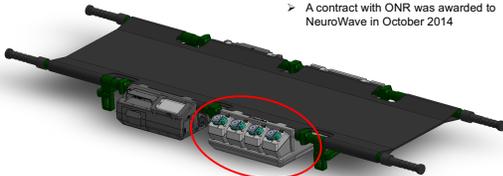
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The Navy ACCS

In 2014, the Office of Naval Research reached out to NeuroWave to add sedation and fluid delivery to their Automated Critical Care System (ACCS) platform, a fully instrumented NATO litter designed for *prolonged field care*, *remote operation*, and long distance *unmanned en-route care*.

- In order to meet the Navy's objectives, we proposed to first develop an infusion technology suitable for en-route care. More specifically, we proposed to develop a robust modular 4-channel infusion platform:
- A contract with ONR was awarded to NeuroWave in October 2014



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Key Technology #3: Infusion Pump

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Administration cartridge

- > Dual epicyclic gear to minimize friction and tube pull
- > Inherent free-flow prevention
- > Geometric pumping chamber design to minimize flow pulsation at low speeds
- > Twist-and-lock features for rapid and error-free insertion
- > Pressure sensors for upstream and downstream occlusion detection
- > Transparent cover to facilitate visual inspection
- > 2 variants: low flow (up to 1,200 mL/hr) and high flow (up to 4,000 mL/hr)
- > Flow accuracy +/-5%

Pump Head Module

- > Bayonet-style mechanism for quick and easy cartridge insertion
 - > Compact enclosure houses a light stepper motor
 - > Lighted edge for improved usability
 - > Water ingress and ESD protection




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Bringing it all together

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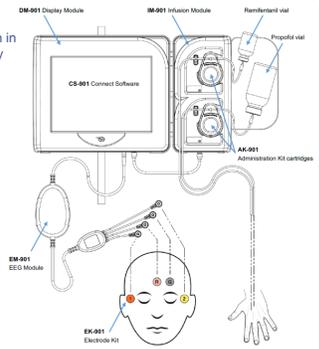
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Vertically Integrated Solution

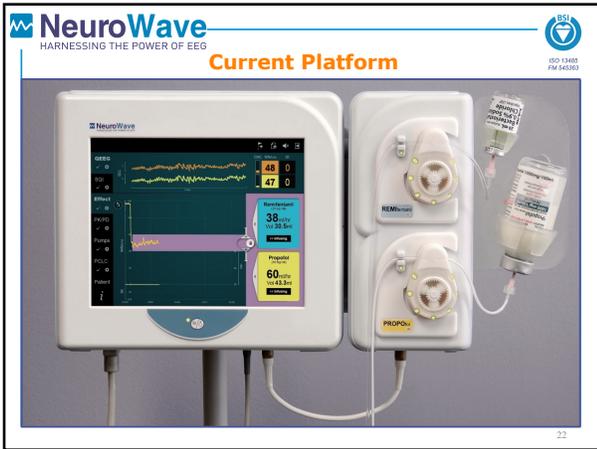
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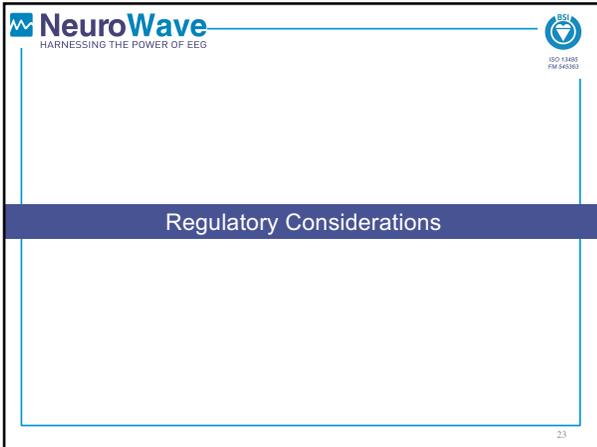
We reached out to the US Army again in 2015 and proposed to add the Navy infusion technology to the previous AutoTIVA platform.

> Development work was started in late 2016



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Closed-loop TIVA is both **technically and clinically feasible**

- The AutoTIVA concept (EEG-based dual controller for propofol and remifentanyl) has been **clinically validated in thousands of cases** by research teams from Canada, France, and India
- Leverages the **benefits of TIVA and brain monitoring**. The closed-loop function acts as a force multiplier.

The AutoTIVA is a **vertically integrated solution** combining 3 key technologies: brain monitoring, infusion pump, and robust controller, developed by UBC/NeuroWave

- Fully functional pilot product optimized for manufacturability
- Regulatory approval (FDA) will be sought, as the AutoTIVA transitions to an Advanced Development program at USAMMA.

The FDA has indicated **strong interest** in PCLC technologies, and is **being supportive of sponsors** willing and capable to bring applications for review.

- Their feedback **has guided our design** since early on.

Funding from the **US Army** (Medical Research and Materiel Command) and the **Office of Naval Research** is gratefully acknowledged.

- Their **continuing support** has been extremely important to get this project moving forward.
- More than just a funding Agency, they are partners with whom we often interact to refine operational concepts, and system specifications. They will likely be our first end-users.
